CAPLIN POINT Laboratories

Caplin Point Laboratories Limited
Regd. office: Ashvich Tower, 3rd Floor,
No.3, Developed Plots Industrial Estate, Perungudi, Chennai – 600096.
Phone : +91 44 24968000 / +91 80127 72888 / +91 44 71148000
E-mail : info@caplinpoint.net / Website : www.caplinpoint.net
CIN : L24231TN1990PLC019053

May 29, 2026

BSE Limited
Department of Corporate Relationship
1st Floor, New Trade Ring, Rotunda Building
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai- 400001
Scrip Code: 524742

National Stock Exchange of India Ltd.,
Department of Corporate Services
Exchange Plaza, 5th Floor,
C-1, Block G,Bandra Kurla Complex,
Bandra (E),Mumbai – 400 051
Scrip Code: CAPLIPOINT.

Dear Sirs,

Sub: Annual Secretarial Compliance Report

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, please find attached the Annual Secretarial Compliance report for the year ended 31st March, 2026.

This is for your kind information and records.

Thanking You,

Sincerely yours,
For **Caplin Point Laboratories Limited**

VENKATRAM GANAPATHISUBRAMANIAN

Digitally signed by VENKATRAM GANAPATHISUBRAMANIAN
Date: 2026.05.29 17:04:52 +05'30'

Venkatram G
General Counsel & Company Secretary
Membership No. A23989

Secretarial Compliance Report of Caplin Point Laboratories Limited for the Financial Year ended March 31, 2026

[Pursuant to Regulation 24A (2) of SEBI (LODR) Regulations, 2015
as amended from time to time]

We, **Alagar & Associates, LLP** have examined:

a) all the documents and records made available to us and explanation provided by Caplin Point Laboratories Limited ("the listed entity")
b) the filings/ submissions made by the listed entity to the stock exchanges
c) website of the listed entity
d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the financial year ended **March 31, 2026** ("**Review Period**") in respect of compliance with the provisions of:

a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ("SEBI")

The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, includes:

a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

d) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018;

e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder were not applicable to the Company, since there were no events required specific compliance during the audit period:

a) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

b) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;

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Alagar & Associates LLP
Company Secretaries
Temple Tower, 7th Floor,
H-5, No.672, Anna Salai,
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c) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;

And based on the above examination, we hereby report that, during the Review Period the compliance status of the listed entity is appended as below:

S.No	Particulars	Compliance status (Yes/No/NA)	Observations/ Remarks by Practicing Company Secretary
1.	**<u>Secretarial Standards</u>** The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 are mandatorily applicable.	Yes	-
2.	**<u>Adoption and timely updation of the Policies:</u>** • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entity.	Yes	-
	• All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI.	Yes	-
3.	**<u>Maintenance and disclosures on Website:</u>** • The Listed entity is maintaining a functional website	Yes	-
	• Timely dissemination of the documents / information under a separate section on the website	Yes	-
	• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website.	Yes	-
4.	**<u>Disqualification of Director:</u>** None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.	Yes	-

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5.	**Details related to Subsidiaries of listed entities have been examined w.r.t.:** (a) Identification of material subsidiary companies	Yes	
	(b) Disclosure requirement of material as well as other subsidiaries	Yes	
6.	**Preservation of Documents:** The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI (LODR) Regulations, 2015.	Yes	-
7.	**Performance Evaluation:** The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/ during the financial year as prescribed in SEBI Regulations.	Yes	-
8.	**Related Party Transactions:** (a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions.	Yes	Since all Related Party Transactions were entered after obtaining prior approval of audit committee point (b) is not applicable.
	(b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved /ratified / rejected by the Audit committee, in case no prior approval has been obtained.	NA	
9.	**Disclosure of events or information:** The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI (LODR) Regulations, 2015 within the time limits prescribed thereunder.	Yes	-
10.	**Prohibition of Insider Trading:** The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	-
11.	**Actions taken by SEBI or Stock Exchange(s), if any:** No action has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock	Yes	-

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	Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder.		
12.	**Resignation of statutory auditors from the listed entity or its material subsidiaries:** In case of resignation of statutory auditor from the listed entity or any of its material subsidiaries during the financial year, the listed entity and / or its material subsidiary(ies) has / have complied with paragraph 6.1 and 6.2 of section V-D of chapter V of the Master Circular on compliance with the provisions of the SEBI (LODR) Regulations by listed entities.	NA	No such instance occurred during the audit period.
13.	**Additional non-compliances, if any:** No additional non-compliance observed for any SEBI regulation/circular/guidance note etc. except as reported above.	Yes	None

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(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

S. No	Compliance Requirement (Regulations / circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action Advisory/ Clarification/Fine/SCN/ Warning, etc.	Details of Violation	Fine Amount	Observations / Remarks of the PCS	Management Response	Remarks
1.	Submission of the postal ballot voting results with the Stock exchanges within 2 working days of conclusion of General Meeting/ Postal Ballot as per Regulation 44(3) of SEBI LODR Regulations, 2015.	Regulation 44(3) of SEBI (LODR) Regulations, 2015	Delay in submission of Postal Ballot voting results in respect of the Postal Ballot concluded on January 31, 2026, with NSE & BSE.	NSE & BSE	Levied fine	The company received notices from the stock exchanges dated February 13, 2026, with respect to delay in submission of Voting Results for the Postal Ballot concluded on January 31, 2026.	Rs. 10,000 plus GST (levied by both stock exchanges)	The Company has submitted waiver applications to the Stock Exchanges along with the payment of requisite processing Fee. The waiver applications submitted by the Company were approved by Stock Exchanges.	The Company, in its waiver application, has taken a stand that although a Muhurat Trading Session was conducted on Diwali, the day was treated as a trading holiday. Applying the same rationale, the Company under a bona fide view that February 1, 2026, on which a live trading session was conducted in connection with the Union Budget presentation, would not be considered as a "working day" for the purpose of compliance under the SEBI LODR, particularly in the absence of any specific clarification to the contrary. The Stock Exchanges accepted the Company's contention and accordingly waived the fine imposed.	Nil

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(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No	Observations/ Remarks of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (the years are to be mentioned)	Compliance Requirement (Regulations/circulars/ guidelines including specific clause)	Details of violation / deviations and actions taken / penalty imposed, if any, on the listed entity	Remedial actions, if any, taken by the listed entity	Comments of the PCS on the actions taken by the listed entity
1.	The Company has taken adequate measures to avoid such delays in the future pertaining to intimation of Schedule of Investors/ Analysts Meet/ call to the stock exchange(s)	For the financial year ended March 31, 2025	Non- compliance with the intimation of Schedule of Investors/ Analysts Meet/ call to the stock exchange(s)	The Company has not submitted the intimation for the investor meeting held on August 30, 2024 within the prescribed timelines.	The Company is committed to ensuring that such unintended delays in disclosure do not occur in the future.	The Company has taken adequate measures to avoid such delays in the future.

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ASSUMPTIONS & LIMITATION OF SCOPE AND REVIEW:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.

2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.

3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.

4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For Alagar & Associates LLP
(Formerly known as M. Alagar & Associates)
Company Secretaries
Firm Registration No: L2025TN019200
Peer Review Certificate No: 6814/2025

M. Alagar

M. Alagar
Managing Partner
FCS No: 7488 / COP No: 8196
UDIN: F007488H000535873

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Place: Chennai
Date: May 29, 2026