

**March 29, 2025**
**BSE Limited**

 Department of Corporate Relationship  
 1<sup>st</sup> Floor, New Trade Ring, Rotunda Building  
 Phiroze Jeejeebhoy Towers  
 Dalal Street, Mumbai- 400001  
 Scrip Code: 524742

**National Stock Exchange of India Ltd**

 Department of Corporate Services  
 Exchange Plaza, 5<sup>th</sup> Floor,  
 C-1, Block G, Bandra Kurla Complex,  
 Bandra (E), Mumbai – 400 051  
 Scrip Code: CAPLIPOINT.

Dear Sir/Madam,

**Subject : Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI LODR Regulations”)**

**Reference : (i) Cautionary e-mail from National Stock Exchange of India Limited dated March 28, 2025 (ii) Cautionary e-mail from BSE Limited dated March 28, 2025**

**Stock Code : BSE – 524742, NSE – CAPLIPOINT**

Pursuant to Regulation 30 of SEBI LODR Regulations, we are enclosing a copy of cautionary e-mail received from National Stock Exchange of India Limited and BSE Limited with respect to the intimation of Schedule of Investors/ Analyst’s Meet/ Call held on August 30, 2024.

The details of the cautionary e-mail, as required under Clause 20 of Para A of Part A of Schedule III to the SEBI LODR Regulations, are given below:

1	Name of the Authority	(i) National Stock Exchange of India Limited and (ii) BSE Limited.
2	Nature and details of the action(s) taken, or order(s) Passed	Cautionary e-mail.
3	Date of receipt of direction or order, including any interim or interim orders, or any other communication from the authority	March 28, 2025.
4	Details of the violation(s)/ contravention(s) committed or alleged to be committed.	The said cautionary e-mails allege that the Company has not submitted the intimation for the investor meeting held on August 30, 2024, within the prescribed timelines of two working days in advance as per sub-para 15(a) of Para A of Part A of Schedule III of SEBI LODR.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	No impact.

The Company will take necessary steps to address the concern/ directive mentioned in the e-mail.

The e-mails received from National Stock Exchange of India Limited and BSE Limited in this regard are enclosed.

This is for your information and appropriate dissemination.

Thank You,

**For Caplin Point Laboratories Limited**

**Venkatram G**  
**General Counsel & Company Secretary**  
**Membership No. A23989**  
**Encl: A/a**

**From:** [neaps@nse.co.in](mailto:neaps@nse.co.in) <[neaps@nse.co.in](mailto:neaps@nse.co.in)>

**Subject:** CAPLIPOINT-Cautionary Email

**\*\* ATTENTION \*\***: This email originated from "**External Source**". Please **DO NOT** download/open any attachment(s) or click any link(s), unless you recognize/validate the sender/email id and know the content is safe.

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on August 29, 2024 regarding the intimation of Schedule of Investors/ Analysts Meet/call to be held on August 30, 2024.

As per Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ( SEBI LODR ), the listed entities are required to disclose the schedule of analysts or institutional investors meet at least two working days in advance (excluding the date of the intimation and the date of the meet).

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of two working days in advance as per sub-para 15(a) of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary email on the Stock Exchanges where they are listed.

Additionally, the Company is advised to place before their Board of Directors this Cautionary email and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

This is system generated email, you may reach out to the Announcements Team in case of any assistance at [takeover@nse.co.in](mailto:takeover@nse.co.in).

**From:** Query lodr <[query.lodr@bseindia.com](mailto:query.lodr@bseindia.com)>

**Subject:** Cautionary Letter

**\*\* ATTENTION \*\*:** This email originated from "**External Source**". Please **DO NOT** download/open any attachment(s) or click any link(s), unless you recognize/validate the sender/email id and know the content is safe.

LIST/COMP/JP/1805/2024-  
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Date: March 28, 2025

To,  
Company Secretary /Compliance Officer  
**Caplin Point Laboratories Ltd (524742)**

**Sub: Cautionary letter**

Dear Sir/Madam,

This is in reference to the announcement submitted by the Company on August 29, 2024, regarding the intimation of Schedule of Investors/ Analysts' Meet/ Call to be held on August 30, 2024.

As per Regulation 30 read with sub-para 15(a) of Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI LODR'), the listed entities are required to disclose the schedule of analysts or institutional investors meet at least two working days in advance (excluding the date of the intimation and the date of the meet/call).

In the above case, the Exchange has observed that the aforesaid intimation was not submitted within the prescribed timelines of two working days in advance as per sub-para 15(a) of Para A of Part A of Schedule III of SEBI LODR.

The aforesaid non-compliance on your part is viewed seriously. You are hereby advised to be cautious in future, exercise due diligence and initiate corrective steps to avoid recurrence of such lapses so as to ensure due compliance with the applicable provisions of SEBI LODR and related SEBI circulars. Any aberration in future will be viewed seriously and appropriate action would be initiated.

The Company is required to disseminate a copy of this Cautionary Letter on the Stock Exchanges where they are listed. Additionally, the Company is advised to place before their Board of Directors this Cautionary Letter and the corrective measures taken by the Company to avoid recurrence of such lapses in future.

Yours faithfully,

Listing Compliance Monitoring Team  
**BSE Limited**  
P J Towers, Dalal Street,  
Mumbai - 400001, India

[www.bseindia.com](http://www.bseindia.com)

Tel: 022 2272 8561 / 8475

This mail is classified as 'CONFIDENTIAL' by chetan.ag on March 28, 2025 at 16:34:54.

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