

April 16, 2021

BSE Limited

Department of Corporate Relationship
1st Floor, New Trade Ring, Rotunda Building
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai- 400001
Scrip Code: 524742

National Stock Exchange of India Ltd.,

Department of Corporate Services
Exchange Plaza, 5th Floor,
C-1, Block G, Bandra Kurla Complex,
Bandra (E), Mumbai – 400 051
Scrip Code: CAPLIPOINT.

Dear Sir/Madam,

Sub: Certificate pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

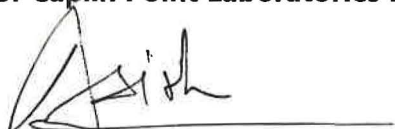
We attach herewith Certificate in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the period from 1st October 2020 to 31st March, 2021.

Kindly take the same on record.

Thanking You,

Sincerely Yours

For Caplin Point Laboratories Limited



Avaneesh Singh
Compliance Officer (Interim)

Encl: A/a



G RAMACHANDRAN & ASSOCIATES
COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31ST MARCH, 2021

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **CAPLIN POINT LABORATORIES LIMITED** maintained by **M/s. Integrated Registry Management Services Private Limited**, 2nd Floor, Kences Towers, No. 1, Ramakrishna Street, North Usman Road, T Nagar, Chennai 600017 pertaining to transfer of equity shares of the company for the period from **1st October, 2020 to 31st March, 2021** for the purpose of issuing a Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015 entered into by **CAPLIN POINT LABORATORIES LIMITED** with NSE and BSE and based on the information provided by the Company, we, hereby certify that the Company has delivered during half year ended on 31st March, 2021:

- a) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from 1st October, 2020 to 31st March, 2021 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- b) Any other information, if applicable. Nil

For M/s. ~~G Ramachandran & Associates~~
Company Secretaries



G. Ramachandran
G. RAMACHANDRAN
Proprietor
FCS No.9687 CoP No.3056

Place: Chennai
Date: 16th April, 2021
UDIN: F009687C000104661